

The Gazette of India



EXTRAORDINARY

PART II—Section 3

PUBLISHED BY AUTHORITY

No. 180]

NEW DELHI, MONDAY, JULY 20, 1953

MINISTRY OF COMMERCE AND INDUSTRY NOTIFICATION

New Delhi, the 20th July, 1953

S.R.O. 1424.—The following draft of certain amendments to the Registration and Licensing of Industrial Undertakings Rules, 1952, which the Central Government proposes to make in exercise of the powers conferred by section 30 read with sections 10, 10A, 11, 11A, sub-sections (2) and (3) of section 12 and sections 13 and 14, of the Industries (Development and Regulation) Act, 1951 (LXV of 1951), is hereby published as required by sub-section (1) of the said section 30, for the information of persons likely to be affected thereby.

Notice is hereby given that the draft will be taken into consideration on or after the 5th August, 1953.

Any objection or suggestion which may be received from any person with respect to the draft before the date specified above shall be considered by the Central Government.

AMENDMENTS

In the said Rules,—

(1) in rule 2, for sub-clause (ii) (a), the following sub-clause shall be substituted, namely:—
(a) that 60 per cent. or more of the capital issued for an industrial undertaking which is a public company within the meaning of the Indian Companies Act, 1913 (VII of 1913) has been paid up;"

(2) in rule 3,—

(i) for sub-rule (1), the following sub-rule shall be substituted, namely:—

"(1) An application for the registration of an existing industrial undertaking shall be made, in triplicate, to the Ministry of Commerce and Industry, Government of India, New Delhi, in Form 'A' or 'B' as the case may be, appended to these Rules at least three months before the expiry of the period fixed under sub-section (1) of section 10 of the Act in relation to that Industrial Undertaking:

Provided that an application which is not made in time, may be entertained by the Ministry of Commerce and Industry if the applicant satisfies that Ministry that there was sufficient cause for not making the application in time."

(ii) After sub-rule (1), the following sub-rule shall be inserted, namely:—

"(1A) Where an industrial undertaking consists of more than one factory, a separate form, A or B as the case may be, shall be filled and sent in respect of each factory."

(3) in rule 5, for the words and figure 'under rule 3' the words 'in this behalf' shall be substituted;

(4) in rule 6,—

(a) the words and figure 'or the authority appointed by it under rule 3' in both the places where they occur shall be omitted;

(b) for the word and figures '8th November 1952', the words and figure 'expiry of the period fixed under section 10 of the Act' shall be substituted;

(5) in rule 7,—

(a) after sub-rule (1), the following sub-rules shall be inserted, namely:—

"(1A) An application for a licence or permission for changing the location of the whole or any part of an industrial undertaking which has been registered shall be made before taking any of the following steps—

(a) the acquisition of land or the construction of premises for the purpose of housing the industrial undertaking at the proposed new site;

(b) the dismantling of any part of the plant and machinery at the existing site".

(b) for sub-rule (2), the following shall be substituted—

"(2) An application for a licence or permission under the Act shall be made, in triplicate, to the Ministry of Commerce and Industry, Government of India, New Delhi, in Form D, E or EE, as the case may be, appended to these rules";

(6) in rule 9, for the words, figures and brackets 'under sub-rule (1) of rule 7' the words 'in this behalf' shall be substituted;

(7) in rule 10,—

(a) the words, figures and brackets 'or the authority appointed by it under sub-rule (1) of rule 7' shall be omitted;

(b) for the words 'consisting of a representative' the words 'consisting of one or more representatives' shall be substituted;

(8) in rule 13, the words, figures and brackets 'or to the authority appointed by it under sub-rule (1) of rule 7' shall be omitted;

(9) in rule 14, in sub-rule (1), for the words, figures and bracket 'under sub-rule (1) of rule 7' the words 'in this behalf' shall be substituted;

(10) in rule 16, in sub-rule (1), the words, figures and brackets 'or to the authority appointed by it under sub-rule (1) of rule 7' shall be omitted;

(11) in rule 17, the words, figures and brackets 'or the authority appointed by it under sub-rule (1) of rule 7' shall be omitted;

(12) (1) rule 19 shall be renumbered as sub-rule (1) of that rule, and in sub-rule (1) as so renumbered,

(a) the words, figures and brackets, 'or to the authority appointed by it under sub-rule (1) of rule 7' shall be omitted;

(b) after the words 'has been granted', the words 'under the Act' shall be inserted;

(c) for the words 'in duplicate', the words 'with five spare copies' shall be substituted;

(2) after sub-rule (1) as so renumbered, the following sub-rules shall be inserted, namely:—

"(2) Where any condition has been attached to a licence or permission granted in respect of an industrial undertaking to the effect that certain steps should be taken within a period specified therein, every owner of such an undertaking shall send a return with five spare copies, in Form G appended to these rules, to the Ministry of Commerce and Industry, Government of India, New Delhi, showing the progress made in taking such steps at the expiry of the period so specified. The return shall be sent within a period of seven days from the expiry of the period so specified;

(3) Every owner of an industrial undertaking which has been registered by reason of effective steps having been taken for the establishment of that undertaking before the commencement of the Act shall send, every half year ending on the 30th June and the 31st December, and commencing from the 31st December, 1953, till such time as the industrial undertaking commences production, a return with five spare copies, in Form G appended to these rules, to the Ministry of Commerce and

Industry, Government of India, New Delhi, or to any authority appointed by it in this behalf. The return relating to every half year shall be sent within one month of the expiry of that half year";

(13) After rule 19, the following rules shall be inserted, namely:—

19A. Notice of certain facts to be given.—(1) If there is any change in the name of a registered industrial undertaking or an undertaking in respect of which a licence or permission has been granted under the Act, the owner thereof shall, within fourteen days from the date of such change, give notice in writing of the fact to the Ministry of Commerce and Industry, Government of India, New Delhi;

(2) If there is any change in the owner of a registered industrial undertaking or an undertaking in respect of which a licence or permission has been granted, the new owner thereof shall, within fourteen days from the date of such change, give notice in writing of the fact to the Ministry of Commerce and Industry, Government of India, New Delhi;

(3) If by reason of (a) reduction in the number of workers employed; (b) discontinuation of the production of articles falling within the scope of the Act; or (c) any other reason, all or any of the provisions of the Act become inapplicable to a registered industrial undertaking or an undertaking in respect of which a licence or permission has been granted and continue to be so inapplicable for a period of six months the owner thereof shall, within fourteen days of the expiry of the said period of six months, give notice in writing of the fact to the Ministry of Commerce and Industry, Government of India, New Delhi;

(4) If a registered industrial undertaking or an industrial undertaking in respect of which a licence or permission has been granted, has been closed for a period exceeding seven days, the owner thereof shall within fourteen days from the date of such closure, give notice in writing of the fact to the Ministry of Commerce and Industry, Government of India, New Delhi;

(5) If any decision has been taken by a competent authority that a registered industrial undertaking or an industrial undertaking in respect of which a licence or permission has been granted, shall be liquidated, the owner thereof shall, within fourteen days from the date of such a decision, give notice in writing of the fact to the Ministry of Commerce and Industry, Government of India, New Delhi;

19B. Loss of Registration Certificate or Licence.—Where a Registration Certificate, a licence, or a permission granted under these Rules, is lost, destroyed or mutilated, a duplicate may be granted on receipt of a treasury challan for Rs. 5."

or Forms A, B, D, E, F and G the following forms shall be substituted.

FORM A

Application for Registration of an Industrial undertaking which was in existence on the commencement of the Industries (Development and Regulation) Act, 1951

(Vide Section 10 of the Act)

I. This form is to be used in the following cases:—

- (a) industrial undertakings producing on the 8th May 1952 or at any time during the 12 months preceding that date, articles relatable to the industries specified in the First Schedule to the Industries (Development and Regulation) Act, 1951, as originally enacted.
- (b) industrial undertakings producing on 1953 or at any time during the 12 months preceding that date, any articles relatable to the industries added to the First Schedule by the Industries (Development and Regulation) Amendment Act, 1953,

2. Particulars given in the application should show the position as on 8th May 1952 or 1953, as is applicable to the scheduled industry concerned.

1. Scheduled Industry or Industries to which the articles produced relate.

2. Registration number under the Indian Factories Act and the date of Registration.

3. Name of the industrial undertaking.

Address:—

- (a) Head Office
- (b) Factory.

4. Ownership. Whether proprietary, partnership, private limited or public limited.

5. Names of proprietors, partners or Board of Directors and their addresses.

6. Name and address of the owner of the factory in terms of section 3(f) of the Act.

7. Capital structure—

(a) In the case of companies registered under the Indian Companies Act, 1913.

	Number	Value	Total
(i) Authorised Capital—			
Preference shares	...		
Ordinary shares	...		
Deferred shares	...		
Any other class of shares.			
(ii) Issued Capital—			
Preference shares	...		
Ordinary shares	...		
Deferred shares	...		
Any other class of shares.			
(iii) Paid-up Capital—			
Preference shares	...		
Ordinary shares	...		
Deferred shares	...		
Any other class of shares.			
(iv) Debentures.			
(v) Other borrowings.			

(b) In the case of others.

(i) Capital invested by the owner excluding borrowings.

(ii) Share of each of the partners or of members of an association.

(iii) Borrowings.

8. Name and address of Managing Agents, if any, and the principal clauses of the managing agency agreement.

9. A copy each of the last three years' Balance Sheets and Profit and Loss Accounts. (To be attached to each copy of the application).

10. (a) Foreign capital invested. Terms of agreement, if any, with foreign collaborator including terms in regard to royalty etc.

(b) Details of foreign technicians employed.

11. *Approximate land under control of the factory—

(1) for factory and administration purposes—

- (a) in use
- (b) available for expansion.

(2) for township and other facilities—

- (a) in use
- (b) available for expansion.

**(This is not applicable to mining concerns.)*

12. Water Supply—

(a) Is it ample for the requirements of—
 (1) factory and
 (2) township or staff quarters?
 (State approximate quantity.)

(b) Is it drawn from public supply?

(c) Effluent problems, if any.

13. Power Supply—

(a) Total requirements drawn from—
 (1) own generating station.
 (2) public supply.

(b) In case of own station, give brief particulars of plant in operation.

14. (a) Nature of plant and equipment section by section. Main plant and machinery items grouped under different sections following the standard practices in vogue in each industry.

(b) Replacement requirements over next five years.

15. Transport facilities for incoming raw materials and outgoing finished products.

16. Manufacturing activities.

(a) Whether continuous or shift operation.
 (b) Number of shifts generally worked.
 (c) Number of working days in a month/year.

NOTE: Allow for compulsory holidays and for possible interruptions arising from breakdowns overhauling and maintenance repairs separately on the basis of your past experience.

(d) *Monthly installed capacity—

**(State the number of working days in a month and the number of shifts in a day).*

Name of the manufactured product	Capacity

17. Past production including bye-product during the last three calendar years.

Name of principal product or bye-product	Quantity	Value

NOTE: In the case of seasonal industries, e.g. sugar, give figures relating to the season.

18. Staff and Labour employed.	Head Office	Factory	Total.
(a) Managerial.			
(b) Supervisory.			
Technical			
Non-technical.			
(c) Clerical.			
(d) Labour.			
Skilled.			
Semi-skilled.			
Unskilled.			
(e) Other categories, if any.			

Place _____

Date _____

Signature of applicant.

(To be filled in by the Government of India)

Date of receipt of the application.

Signature of the receiving Officer.

FORM B

Application for Registration of an Existing Industrial Undertaking for the establishment of which effective steps had been taken—

[Vide Section 10 of the Act]

1. This form is to be used in the following cases:—

- (a) industrial undertakings which had taken effective steps as defined in Rule 2(ii) of these Rules, on or before the 8th May 1952, for the production of any articles relatable to the industries specified in the First Schedule to the Industries (Development and Regulation) Act, 1951, as originally enacted.
- (b) industrial undertakings which had taken effective steps as defined in Rule 2(ii) of these Rules, on or before the 1st January 1953 for the production of articles relatable to the industries added to the First Schedule by the Industries (Development and Regulation) Amendment Act, 1953.

2. Particulars given in the application should show the position as on 8th May, 1952 or 1st January 1953, as is applicable to the scheduled industry concerned.

NOTE:—Where an industrial undertaking consists of more than one factory, a separate form should be filled and sent, for each of the factories.

1. Scheduled Industry or Industries to which the articles produced relate.

2. Name and address of the applicant.

3. Name of the industrial undertaking.

Address (a) Head Office,

(b) Factory.

4. Ownership. Whether proprietary, partnership, private limited or public limited company.

5. Names of proprietors, partners or Board of Directors and their addresses.

6. Name and address of the owner of the factory in terms of section 3(f) of the Act.

7. Whether sanction for capital issue has been applied for. If so, the number and date of sanction of capital issue. If not, how the undertaking is or is to be financed.

8. What percentage of the total capital has been raised or subscribed.

9. Types, number and values of different classes of shares authorised, issued and paid-up or arrangements being made for—

(a) In the case of companies registered under the Indian Companies Act, 1913—

(1) Authorised capital.—	Number	Value	Total
Preference shares	...		
Ordinary shares	...		
Deferred shares	...		
Any other class of shares	...		

	Number	Value	Total
(ii) Issued Capital—			
Preference shares	...		
Ordinary shares	...		
Deferred shares	...		
Any other class of shares	...		
(iii) Paid-up-capital—			
Preference shares	...		
Ordinary shares	...		
Deferred shares	...		
Any other class of shares	...		
(iv) Debentures	...		
(v) Other borrowings	...		
) In the case of others—			
(i) Capital invested by the owner excluding borrowings.	...		
(ii) Shares of each of the partners or members of an association.	...		
(iii) Borrowings.	...		

10. Name and address of the Managing Agents, if any.

11. Obligations, rights and privileges of Managing Agents in the constitution and working of the company.

12. Is any foreign collaboration or investment envisaged? If so, the extent and nature of such collaboration. Give a copy of the agreement, if any.

13. Are foreign technicians required? Number and types of such personnel. (Approximate indication may be given).

14 Location or proposed location of the factory.
Tehsil District State

15. Area of land required and whether it has been secured.

16. Particulars of buildings erected.

17. What portion of the factory buildings has been constructed and what proportion in value does it bear to the entire cost of the factory buildings.

18. Lines of manufacture proposed—

Name of product and bye-product	*Monthly proposed installed capacity

*Basis of estimating installed capacity should be clearly stated, viz., whether operation will be continuous or shiftwise, and the numbers of days in a month and the number of shifts in a day assumed in estimating monthly capacity.

19. Number of estimated working days in a year.
20. Indicate your requirements of rail transport for movement of raw materials and finished products.
21. Estimated requirements of main raw materials.

22. Requirements of capital equipment—

Total value of equipment required.

(i) Imported—

- (a) from sterling area.
- (b) from dollar and hard currency area.

(ii) Indigenous.

23. What percentage in value of item 22 has been—

- (a) ordered and received.
- (b) ordered and not yet received

24. Water Supply—

(a) Will it be ample for the requirements of—
(1) factory and
(2) township or staff quarters (State approximate quantity).

(b) Will it be drawn from public supply?

(c) Effluent problems, if any.

25. Power supply—

(a) Total requirements proposed to be drawn from—
(1) own generating station
(2) public supply

(b) In case of own station, give brief particulars of plant in operation.

26. Staff and Labour	Already employed.	Proposed to be employed on implementation of the project.
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(a) Managerial

(b) Supervisory—

 Technical

 Non-Technical

(c) Clerical

(d) Labour—

 Skilled

 Semi-skilled

 Unskilled

(e) Other categories, if any

27. Give a brief description of the processes involved in the manufacture and the factors favourable for their adoption in the location proposed by you.

Place.....

Date.....

Signature of applicant.

(To be filled in by the Government of India)

Date of receipt of the application.

Signature of the receiving Officer.

FORM D

[Prescribed under Rule 7(2) of the Registration and Licensing of Industrial Undertakings Rules, 1952 (as revised).]

Application for Licence or Permission

This form is to be used for application for a licence or permission for the establishment of a new industrial undertaking under Section 11 of the Industries (Development and Regulation) Act, 1951.

Note.—Where the proposal involves the issue of capital for which consent has to be obtained under the Capital Issue (Continuance of Control) Act, 1947, an application for the consent should be made simultaneously in the form prescribed for that purpose to the Controller of Capital Issues, Ministry of Finance, Government of India, New Delhi.

1. Name of the Scheduled Industry or Industries to which articles proposed to be manufactured relate.
2. Name and address of the applicant.
3. Name and address of the industrial undertaking.
4. Whether it is proposed to be undertaken by proprietors, partners, private limited or public limited Company.

5. Name and address of the Company.
6. Names of proprietors, partners, or Board of Directors and their addresses.
7. Name and address of the Managing Agents, if any.
8. Obligations, rights and privileges of Managing Agents in the constitution and working of the company.
9. Whether sanction for capital issue has been applied for otherwise how the undertaking is proposed to be financed.
10. Details of proposed capital structure—
 - (a) In the case of companies registered under the Indian Companies Act, 1913—

	Number	Value	Total
(i) Authorised Capital—			
Preference shares	
Ordinary shares	
Deferred shares	
Any other class of shares	
(ii) Issued Capital—			
Preference shares	
Ordinary shares	
Deferred shares	
Any other class of shares	
(iii) Paid-up Capital—			
Preference shares	
Ordinary shares	
Deferred shares	
Any other class of shares	
(iv) Debentures.			
(v) Other borrowings.			

- (b) In the case of others—
 - (i) Capital invested excluding borrowings.
 - (ii) Share of each of the partners or members of an association.
 - (iii) Borrowings.

11. Is any foreign collaboration or investment envisaged? If so, the extent and nature of such collaboration. Give a copy of the agreement, if any.

12. Are foreign technicians required? Number and types of such personnel. (Approximate indication may be given).

13. Proposed location of the factory.

Tehsil..... District..... State.....

Reasons for the choice.

14. Lines of manufacture proposed.

Name of product and bye product.

*Monthly proposed
installed capacity.

*Basis of estimating installed capacity should be clearly stated, viz., whether the operation will be continuous or shiftwise and the number of days in a month, and the numbers of shifts in a day assumed in estimating monthly capacity.

15. Number of estimated working days in a year.

16. Estimated requirements of main raw materials.

Name of raw material	Whether indigenous or imported ; if the latter, the country of origin.	Quantity required per year	Estimated value

17. Requirements of capital equipment.

Total value of equipment required.

(i) Imported—

- (a) from sterling areas.
- (b) from dollar and hard currency areas

(ii) Indigenous—

18. Indicate your requirements of rail transport for movement of raw materials and finished products.

19. Area of land required and whether it has been acquired.

20. Water supply—

- (a) Will it be ample for requirements of—
 - (1) factory, and
 - (2) township or staff quarters? (State approximate quantity).
- (b) Will it be drawn from public supply?
- (c) Effluent problems, if any.

21. Power supply—

- (a) Total requirements proposed to be drawn from—
 - (1) own generating station.
 - (2) public supply.

(b) In case of own station give brief particulars of plant in operation.

22. Staff and Labour—

Proposed to be employed on implementation of the project.

- (a) Managerial.
- (b) Supervisory.
 - Non-Technical
 - Technical.
- (c) Clerical
- (d) Labour—
 - Skilled.
 - Semi-skilled.
 - Unskilled.
- (e) Other categories if any.

23. Give a brief description of the processes involved in the manufacture and factors favourable for their adoption.

Place.....

Date

Signature of the applicant.

(To be filled in by the Government of India)

Date of receipt of the application.

Signature of the receiving Officer.

FORM E

[Prescribed under Rule 7(2) of the Registration and Licensing of Industrial Undertakings Rules, 1953.]

Application for Licence or Permission.

This form is to be used in the following cases:

- (a) Registered industrial undertakings or undertakings in respect of which a licence or permission has been granted proposing to produce or manufacture new articles (*vide* Section 11A of the Act).
- (b) Registered industrial undertakings or undertakings in respect of which a licence or permission has been granted proposing to effect substantial expansions [*vide* clause (d) of sub-section (1) of Section 13 of the Act].
- (c) Registered industrial undertakings or undertakings in respect of which a licence or permission has been granted proposing to change the location of the whole or any part of the undertaking [*vide* clause (e) of sub-section (1) of Section 13 of the Act].

NOTE.—Where the proposal involves the issue of capital for which consent has to be obtained under the Capital Issue (Continuance of Control) Act, 1947, an application for the consent should be made simultaneously in the form prescribed for that purpose to the Controller of Capital Issues, Ministry of Finance, Government of India, New Delhi.

1. Name and address of the applicant.
2. Name and address of the industrial undertaking.
 1. Head Office.
 2. Factory.
3. Number and date of registration or of licence or permission under this Act.
4. Scheduled industry or industries to which the articles already produced or manufactured relate.

NOTE.—Please fill in question 5A, 5B, 5C as may be applicable to your case.

5(A) If it is proposed to manufacture new articles:—

(a) Give the following details—

Particulars of the New articles	Scheduled industry or industries to which they relate	Monthly capacity proposed
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- (b) If the articles proposed to be manufactured will bear a new mark, as defined in the Trade Marks Act, 1940 (V of 1940), give particulars of the mark.
- (c) If the articles are the subject of a new patent, give particulars of the patent.
- (d) Is payment of any royalty envisaged? If so, give full details.
- (e) Give a brief account of the circumstances which justify the manufacture of the new articles and the factors which you consider favourable.

(B) If it is proposed to effect a substantial expansion to the existing undertaking:

(a) State the lines of proposed expansion—

Name of product	Present monthly installed capacity	Expansion envisaged	*Monthly installed capacity after expansion
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*If any alteration is envisaged in the basis of number of working days per month and shifts per day, previously given at the time of registration or licensing, it should be clearly indicated.

- (b) Give a brief account of the circumstances which justify the expansion of the existing unit and the factors which you consider favourable.

(C) If it is proposed to change the location of an undertaking give the following particulars:—

- (1) Present location Tahsil District State
- (2) New location proposed Tahsil District State
- (3) Reasons for changing the location
- (4) Whether the change in location will affect the quality or quantity of the articles produced at present. If so, give full particulars of all changes anticipated.

6. Capital, if any, required for the purpose. How will it be secured? Whether by issue of fresh capital or in the form of loan or from other sources. Full details to be given including information whether fresh issues or loans have been applied for and the present stage of such applications.

7. Is any foreign collaboration or investment envisaged? If so, the extent and the nature of such collaboration. (Enclose a copy of the agreement, if any).

8. Are foreign technicians required? Number and types of such technicians (Approximate indication may be given).

9. Estimated requirement of main raw materials and their value.

Name of raw material	Indigenous or imported	Quantity used in previous year	Quantity required for full present capacity	Additional quantity necessary for expansion and its value
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10. Requirements of capital equipment, if any.

(i) Imported.

(a) from sterling areas.

(b) from dollar and hard currency areas.

(ii) Indigenous.

11. Indicate your requirements, if any, of additional rail transport for movement of raw materials and finished products.

12. Additional land required, if any; whether it has been acquired or is available.

13. Additional power required and whether arrangements have been made therefor.

14. Additional water required and whether arrangements have been made therefor.

Staff and Labour	Existing	Additional requirements, if any, for production of new articles/affecting substantial expansion/ changing the location.
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(a) Managerial

(b) Supervisory—

 Technical

 Non-Technical

(c) Clerical

(d) Labour—

 Skilled

 Semi-skilled

 Un-skilled

(e) Other categories, if any.

Place.....

Date

Signature of Applicant.

(To be filled in by the Government of India.)

Date of receipt of the application.

Signature of the Receiving Officer.

FORM 'F'

(Prescribed under Rule 15(4) of the Registration and Licensing of Industrial Undertakings Rules, 1952).

Licence No.
Permission

GOVERNMENT OF INDIA

MINISTRY OF COMMERCE & INDUSTRY

New Delhi, the 195 .

An application No. dated for a Licence having been received from Permission for

....., under Rule 7 of the Registration & Licensing of Industrial Undertakings Rules, 1952, the Central Government, in exercise of the powers conferred by Rule 15(2) of the said Rules, hereby grants this licence to

..... Permission

subject to the following *conditions:—

- (1)
- (2)
- (3)

() Any prospectus or other document by which the public is invited to subscribe capital for this undertaking shall contain the following statement:

"A Licence/Permission has been obtained from the Central Government for—

..... of which a copy is open to public inspection at the Head Office of the Company. It must be distinctly understood that in granting this Licence

..... Permission the Gov-

ernment of India do not take any responsibility for the financial soundness of this undertaking or for the correctness of any of the statements made or opinions expressed in regard to it."

(SIGNATURE OF THE OFFICER).

(SEAL OF THE MINISTRY)

FORM 'G'

Report on the progress made in the establishment of Industrial Undertakings.

This form is to be used for reporting progress made in the following cases.

- (a) In the establishment of new industrial undertakings or substantial expansion thereof;
- (b) In the manufacture of new articles;
- (c) In changing the location of industrial undertakings.

1. Scheduled industry to which the articles manufactured by the undertaking relate.

2. Name and address of the industrial undertakings.

3. Number and date of the Registration Certificate/ Licence/Permission issued to the undertaking.

*.IN THE CASE OF ESTABLISHMENT OF NEW UNDERTAKINGS OF MANU-**FACTURE OF NEW ARTICLES.*

4. Progress made in the raising of Capital including foreign capital, if any.

5. What percentage in value of total requirements of capital equipment has been—

- (a) ordered and received.
- (b) ordered and not yet received.

6. Whether land has been acquired for the factory.

7. Progress made in the construction of the factory and the installation of plant and machinery.

8. Progress made in getting supply of power and water.

9. Progress made in the recruitment of foreign technicians, if any.

*Conditions will vary according to the nature of the case.

10. Staff and Labour.

Employed before the implementation of the project.

Now employed

- (a) Managerial
- (b) Supervisory—
 - Technical
 - Non-Technical
- (c) Clerical
- (d) Labour—
 - Skilled
 - Semi-skilled
 - Un-skilled
- (e) Other categories, if any.

11. Principal raw materials stocked.

12. Product and bye-product now manufactured.

Name of product or byo-product	*Present monthly installed capacity	Present daily output

*Basis of estimating installed capacity should be clearly stated, viz., whether operation is continuous or shiftwise, and the number of days in a month and the number of shifts in a day assumed in estimating monthly capacity.

IN THE CASE OF SUBSTANTIAL EXPANSIONS OR CHARGES OF LOCATION.

13. Progress made in the raising of capital including foreign capital, if any, required for the purpose.

14. Whether any land required for the undertaking has been acquired.

15. Progress made in the construction of factory buildings and the installation of plant and machinery at the new site.

16. Whether additional power and water required has been secured.

17. Progress made in the recruitment of foreign technicians, if any. Number and types secured.

18. What percentage in value of total requirement of the capital equipment has been—

- (a) ordered and received.
- (b) ordered and not yet received.

19. Staff and Labour

Employed before the substantial expansion or change of location was undertaken.

New employed.

- (a) Managerial
- (b) Supervisory—
 - Technical
 - Non-Technical
- (c) Clerical

(d) Labour—

Skilled
Semi-skilled
Un-skilled.

(e) Other categories, if any.

20. Product and bye-product manufactured.

Name of product or bye-product	*Present monthly installed capacity	Present daily output

*Basis of estimating installed capacity should be clearly stated, viz., whether operation is continuous or shiftwise and the number of days in a month and the number of shifts in a day assumed in estimating monthly capacity.

Place _____

Date _____

Signature of the holder of
Registration Certificate/
Licence/Permission.

(15) after Form E the following Form shall be inserted, namely—

FORM 'EE'

(Prescribed under Rule 7(2) of the Registration and Licensing of Industrial Undertakings Rules, 1952)

Application for Licence or Permission

This form is to be used in the following cases:—

- (1) where an industrial undertaking which was required to be registered under Section 10 but has not been registered within the time fixed for the purpose, proposes to carry on the business of that undertaking after the expiry of such period [vide Clause (a) of sub-section (1) of section 13 of the Act].
- (2) where an industrial undertaking, the registration in respect of which has been revoked under Section 10A proposes to carry on the business of the undertaking after the revocation [vide clause (b) of sub-section (1) of Section 13 of the Act].
- (3) where an industrial undertaking to which the provisions of the Act did not originally apply but became applicable after the commencement of the Act for any reason, proposes to carry on the business of the undertaking after the expiry of three months from the date on which the provisions of the Act became so applicable [vide Clause (c) of sub-section (1) of Section 13 of the Act].

NOTE.—PARTICULARS GIVEN BELOW SHOULD SHOW THE POSITION AS ON THE DATE OF APPLICATION UNLESS OTHERWISE DIRECTED IN THE QUESTIONNAIRE.

1. Name and address of the applicant.

2. Name of the industrial undertaking—
Address:—

(a) Head Office

(b) Factory or Factories.

3. Ownership.

4. Names of proprietors, partners or Board of Directors and their addresses.

5. Name and address of the owner of the undertaking in terms of Section 3(1) of the Act.

6. The Scheduled industry or industries to which the articles manufactured or produced relate.

7. Capital structure—

(a) In the case of companies registered under Indian Companies Act, 1913.

	Number	Value	Total
(i) Authorised Capital—			
Preference shares	
Ordinary shares	
Deferred shares	
Any other class of shares	
Preference shares	
(ii) Issued Capital—			
Preference shares	
Ordinary shares	
Deferred shares	
Any other class of shares	
(iii) Paid-up Capital—			
Preference shares	
Ordinary shares	
Deferred shares	
Any other class of shares	
(iv) Debentures.			
(v) Other borrowings.			

(b) In the case of others.

(i) Capital invested by the owner excluding borrowings.

(ii) Shares of each of the partners or of members of an association.

(iii) Borrowings

8. Name and address of Managing Agents, if any, and the principal clauses of the managing agency agreement.

9. A copy each of the last three years' Balance Sheets and Profit and Loss Accounts. (To be attached to each copy of the application).

10. (a) Foreign capital invested. Terms of agreement, if any, with foreign collaborator including terms in regard to royalty etc.

(b) Details of foreign technicians employed.

11. *Approximate land under control of the undertaking—

(1) for factory and administration purposes—

(a) in use

(b) available for expansion

(2) for township and other facilities—

(a) in use

(b) available for expansion.

*(This is not applicable to mining concerns).

12. Water Supply—

(a) Is it ample for the requirements of—

(1) factory and

(2) township or staff quarters?

(State approximate quantity.)

(b) Is it drawn from public supply?

(c) Effluent problems, if any.

13. Power Supply—

(a) Total requirements drawn from—

- (1) own generating station,
- (2) public supply.

(b) In case of own station, give brief particulars of plant in operation.

14. (a) Nature of plant and equipment section by section, Main plant and machinery items grouped under different sections following the standard practice in vogue in each industry.

(b) Replacement requirements over next five years.

15. Transport facilities for incoming raw materials and outgoing finished products.

16. Manufacturing activities.

(a) Whether continuous or shift operation.

(b) Number of shifts generally worked.

(c) Number of working days in a month/year

Note:—Allow for compulsory holidays and for possible interruptions arising from breakdowns, overhauling and maintenance repairs separately on the basis of your past experience.

(d) *Monthly installed capacity—

*(State the number of working days in a months and the number of shifts in a day).

Name of the manufactured product	Capacity
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17. Past production including bye-product during the last three calendar years.

Name of principal product or bye-product	Quantity	Value
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Note:—In the case of seasonal industries, e.g. sugar, give figures relating to the season.

	Head office	Factory	Total.
18. Staff and Labour employed.			
(a) Managerial			
(b) Supervisory			
Technical			
Non-technical			
(c) Clerical			
(d) Labour			
Skilled			
Semi-skilled			
Un-skilled.			
(e) Other categories, if any.			
19. (a) Whether any application was made for the registration of this undertaking under the Industries (Development and Regulation) Act, 1951? Give particulars of the No. and date of the application and of the reply thereto.			

(b) If no application was made for the registration of this undertaking, give the reasons therefor.

20. Whether the registration of this undertaking has previously been revoked under section 10A of the Act. If so, give particulars of the letter of revocation.

21. Give the following particulars regarding your industrial undertaking as on 8th May 1952 or as on 1953, according as (a) or (b) of clause (bb) of section 3 of the Act applies to your case.

(i) The invested capital

(This means the actual capital invested by the Owner in the case of proprietary concern or paid-up capital and reserves in the case of companies registered under the Indian Companies Act, 1913—Borrowings and debentures should be excluded in all cases.)

(ii) The total number of staff and labour employed in the factory and head office.

(iii) Articles manufactured.

Place

Date

Signature of applicant

(To be filled in by the Government of India.)

Date of receipt of the application

Signature of the receiving Officer.

[4(11)-IA(G)/63]

L K JHA Joint Secy

